



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael A. Tremblay

Confirmation No.:

Application No.: 10/720,494

Examiner:

Filing Date: Nov. 24, 2003

Group Art Unit:

Title: SYSTEM AND METHOD FOR USER ADAPTIVE SOFTWARE INTERFACE

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
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Typed Name: John Pallivathukal

Signature: [Signature]

Respectfully submitted,

Michael A. Tremblay

By [Signature]

Christopher S.L. Crawford

Attorney/Agent for Applicant(s)

Reg. No. **51,586**

Date: Feb. 05, 2004

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**PATENT APPLICATION**

Sheet 1 of 2

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

**10005386-2**

APPLICATION NO.

**10/720,494**

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APPLICANT

**Michael A. Tremblay**

FILING DATE

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GROUP

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,544,360	Aug. 06, 1996	Lewak et al.	
	1B	5,787,422	Jul. 28, 1998	Tukey et al.	
	1C	5,991,756	Nov. 23, 1999	Wu	
	1D	5,995,971	Nov. 30, 1999	Douceur et al.	
	1E	5,999,927	Dec. 07, 1999	Tukey et al.	
	1F	6,006,222	Dec. 21, 1999	Culliss	
	1G	6,078,916	Jun. 20, 2000	Culliss	
	1H	6,260,035	Jul. 10, 2001	Horvitz et al.	
	1I	6,262,730	Jul. 17, 2001	Horvitz et al.	
	1J	6,286,002	Sep. 04, 2001	Axaopoulos et al.	
	1K	6,339,436	Jan. 15, 2002	Amro et al.	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	1Q	LOUCHARD, Guy et al., "Average Profile and Limiting Distribution for a Phrase Size in the Lempel-Ziv Parsing Algorithm", IEEE Transactions On Information Theory, Vol. 41, No. 2, March 1995, pages 478-488.
	1R	
	1S	

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

Sheet 2 of 2

FORM PTO-1449

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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	2001/0017632	Aug. 30, 2001	Goren-Bar	
	2B				
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED